JC03 Rec'd PCT/PTO 1 6 SEP 2005

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 071971-0361 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 U.S. APPICATO Affanoive get 7 of F 1.5) INTERNATIONAL APPLICATION NO INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED March 20, 2003 March 19, 2004 PCT/JP2004/003808 TITLE OF INVENTION SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME APPLICANTS FOR DO/EO/US Junko IWANAGA, Takeshi TAKAGI, Yoshihiko KANZAWA, Haruyuki SORADA, Tohru SAITOH, Takahiro KAWASHIMA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) 3.  $\boxtimes$ and (21) indicated below. 4  $\boxtimes$ The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5.  $\boxtimes$ is attached herewith (required only if not transmitted by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) Ø An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. Ø are attached hereto (required only if not transmitted by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendment has NOT expired. have not been made and will not be made. 8  $\boxtimes$ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. Ø An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 10. 371(c)(5)). Items 11. to 16. below concern other document(s) or information included: Ø An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13.  $\boxtimes$ A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. Form PCT/IPEA/401, 419 17.  $\boxtimes$ Form PCT/ISA/210, 220, 237 18.  $\boxtimes$ Front page of the published International Application 19. ☒ **PCT Request** 20.  $\boxtimes$ Other items or information. Forms PCT/IB/301, 304, 306, 308, 311, 332, 346

## JC17 Rec'd PCT/PTO 16 SEP 2005

rU.S. APPLIC. NO. (Lk) web sep 44 CFR 250)  Not yet assigned			4 CPR 2507 ]	INTERNATIONAL APPLICATION NO. PCT/JP2004/003808		ATTORNEY'S DOCKET NUMBER 071971-0361	
				1			PTO USE ONLY
21.  The following fees are submitted:							
⊠ Basic National Stage Fee \$300						\$300.00	
22.						\$200.00	
23. Search Fee Search fee (37 CFR 1.445(1)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500						\$400.00	
TOTAL OF 21, 22 and 23 =						\$900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or compute rprogram listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						\$	
Total Sheets Extra S		Sheets	heets Number of each additional 50 or fraction Thereof (round up to a whole number)		RATE		
52-100 /50= X\$250						\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS		NUMBER FILED NUMBER EXTRA		NUMBER EXTRA	RATE		:
Total Claims		11-20 =			x \$50.00	\$	
Independent Claims		3-3 =			x \$200.00	\$	
Multiple dependent claim(s) (if applicable) + \$360.00						\$	
TOTAL OF ABOVE CALCULATIONS =						\$900.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						\$	
SUBTOTAL =						\$	
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$	
TOTAL NATIONAL FEE =							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	
TOTAL FEES ENCLOSED =						\$900.00	
						Amount to be:refunded	\$
						Amount to be charged	\$900.00
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 500417 in the amount of \$_900.00_ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been paet, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Customer Number 20277 SIGNATURE							
Telephone:	202.756.80	00		Mid	chael E Fogarty		
Facsimile: 202.756.8087 NAME 36,139							
REGISTRATION NUMBER							
BASED ON F	ORM PTO-	1390 (Re	ev. 2-2005)		e: September 16, 2005		

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